

P21289.A02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hirofumi ENDO et al.

Serial No : 09/869,334  
(National Stage of PCT/JP00/00472)

I.A. Filed : January 28, 2000

For : PROCESS FOR PRODUCING HMG-CoA REDUCTASE INHIBITORS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.98, Applicant hereby directs the Examiner's attention to the following documents which are cited in the International Search Report, a copy of which was filed concurrent with the application, issued in connection with International Application No. PCT/JP94/00938 and/or cited in the specification as noted:

The Journal of Antibiotics, 29, 1346 (1976) is cited and discussed in the specification beginning at page 2, line 2, and is cited in the International Search Report;

Japanese Patent Application Laid-Open (kokai) No. 57-050894, with an English Abstract, (family member of U.S. Patent Nos. 4,346,227, 4,410,629, and 4,448,979, copies of which are enclosed herewith) is cited and discussed in the specification beginning at page 2, line 21;

Japanese Patent Application Laid-Open (kokai) No. 7-184670, with an English Abstract, (family member of U.S. Patent No. 6,043,064, a copy of which is enclosed herewith) is cited and discussed in the specification beginning at page 2, line 23;

International Publication WO96/40863 is cited and discussed in the specification beginning at page 2, line 23;

Japanese Patent No. 2672551 (family member of Japanese Patent Application Laid-Open (kokai) No. 64-002585, with English Abstract) is cited and discussed in the specification beginning at page 2, line 24, and is cited in the International Search Report;

<http://www.pasteur.fr/Bio/SubtiList.html> is cited and discussed in the specification beginning at page 14, line 23;

Kunst, F. et al., Nature, Vol. 390, pp. 249-256 (1997) is cited in the International Search Report;

Rivolta, C. et al., Microbiology, Vol. 144, pp. 877-884 (1998) is cited in the International Search Report;

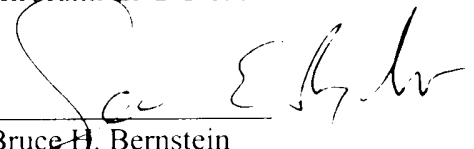
European Patent Application No. 0 649 907 is cited in the International Search Report;

Alberts, A., Cardiology, Vol. 77 (suppl 4), pp 14-21 (1990) is cited in the International Search Report;

Copies of the above-noted documents are attached, as well as English language abstracts thereof, together with a copy of the International Preliminary Examination Report in English, and a duly completed Form PTO-1449. The Examiner is accordingly requested to consider each of these documents, and to make them of record in this application by initialing in the appropriate spaces on the Form PTO-1449. Further, Applicant respectfully requests that the Examiner include a copy of the initialed Form PTO-1449 with the next communication from the U.S. Patent and Trademark Office in connection with this application.

Should the Examiner have any questions, he is invited to contact the undersigned at the below listed number.

Respectfully submitted,  
Hirofumi ENDO et al

  
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Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. P21289	Serial No. 09 869,334
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant Hirofumi ENDO et al.	
		Filing Date July 26, 2001	Group Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 3 4 6 2 2 7	08/24/82	TERAHARA et al.			
	4 4 1 0 6 2 9	10/18/83	TERAHARA et al.			
	4 4 4 8 9 7 9	05/15/84	TERAHARA et al.			
	6 0 4 3 0 6 4	03/28/00	DAVIS et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	5 7 - 5 0 8 9 4	03/25/82	JAPAN			
	7 - 1 8 4 6 7 0	07/25/95	JAPAN			
	9 6 - 4 0 8 6 3	12/19/96	W.I.P.O.			
	2 6 7 2 5 5 1	01/06/89	JAPAN			
	6 4 - 2 5 8 5	01/06/89	JAPAN			
	0 6 4 9 9 0 7	04/26/95	E.P.O.			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1	English Language Abstract of JP 57-50894.
	2	English Language Abstract of JP 7-184670.
	3	English Language Abstract of JP 64-2585.
	4	The Journal of Antibiotics, 29, 1346 (1976).
	5	<a href="http://www.pasteur.fr/Bio/SubtiList.html">http://www.pasteur.fr/Bio/SubtiList.html</a> .
	6	Kunst, F. et al., Nature, Vol. 390, pp. 249-256 (1997).
	7	Rivolta, C. et al., Microbiology, Vol. 144, pp. 877-884 (1998).
	8	Alberts, A., Cardiology, Vol. 77 (suppl 4), pp 14-21 (1990).

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.